

U.S. Department Of Commerce, Patent And Trademark Office		Date: 6 September 2006 (29.09.2006)
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No.: <b>10/591,748</b> (if known, list year assigned)
International Application No.: PCT/JP2005/004503	International Filing Date: 15 March 2005 (15.03.2005)	Priority Date Claimed: 19 March 2004 (19.03.2004) JP-2004-080152
Title of Invention: <b>IMAGE PROCESSING DEVICE, IMAGE PROCESSING METHOD, AND PRINTER DRIVER</b>		
Applicants for DO/EO/US: <b>NAGAO, Noriaki; NOBUSHIMA, Kouichi</b>		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li><input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. The assignment is in favor of <b>RISO KAGAKU CORPORATION 2-20-15, Shinbashi, Minato-ku, Tokyo, JAPAN;</b></li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</li> <li><input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</li> <li><input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</li> <li><input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; INTERNATIONAL PUBLICATION NUMBER: WO 2005/091617 A1; INTERNATIONAL PUBLICATION DATE: 29 SEPTEMBER 2005 (29.09.2005), WHICH CONSISTS OF 57 PAGES: 2 PAGES OF THE COVER SHEET, 37 PAGES OF TEXTUAL SPECIFICATION, 5 PAGES OF 11 CLAIMS, 1 SHEET OF THE ABSTRACT, 12 SHEETS OF DRAWINGS; EXECUTED DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET TO <b>RISO KAGAKU CORPORATION 2-20-15, SHINBASHI, MINATO-KU, TOKYO, JAPAN;</b> INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 WITH 4 CITED REFERENCES; PCT/IB/304 (NOTIFICATION CONCERNING SUBMISSIONS OR TRANSMITTAL OF PRIORITY DOCUMENT); PCT/IB/308 (SECOND AND SUPPLEMENTARY NOTICE); PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); PCT/ISA/237 (WRITTEN OPINION); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</li> </ol>		

U.S. Application Number: (if known): Not Yet Assigned  <div style="font-size: 1.5em; font-weight: bold;">10/591746</div>	International Application Number:  PCT/JP2005/004503	Date: 06 September 2006 (09.2006)
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The following fees are submitted: 21. Basic National Fee (37 CFR 1.492(a)) ..... \$ 300.00  22. Examination Fee (37 CFR 1.492 (c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00 All other situations ..... \$ 200.00  23. Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$ 100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$ 400.00 All other situations ..... \$ 500.00  _____ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.  <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<b>CALCULATIONS</b>  \$ 300.00    \$ 200.00    \$ 400.00    \$ 900.00	<b>PTO USE ONLY</b>
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Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
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CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		
TOTAL	11-20=	0	X \$ 50.00	\$ 0.00	
INDEPENDENT	3-3=	0	X \$ 200.00	\$ 0.00	
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 900.00</b>	
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
<b>SUBTOTAL =</b>				<b>\$ 900.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 900.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 940.00</b>	
				Amount to be: refunded	\$
				charged	\$

Attorney Docket: 27661U

MAIL STOP PCT

U.S. Application Number:  
(if known): Not Yet Assigned

International  
Application Number:  
PCT/JP2005/004503

Date: 6 September 2006 (09.09.2006)

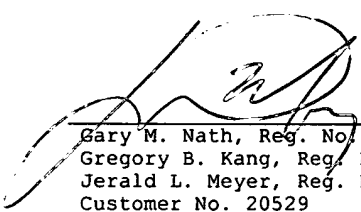
**10/591746**

- a. ☒ One check in the amount of \$ 940.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. ☐ Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form.  
☐ Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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10/591746  
IAP9 Rec'd PCT/PTO 06 SEP 2006  
MAIL STOP PCT

Attorney Docket: 27661U  
Date: September 6, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

NAGAO et al.

Serial No.: Not Yet Assigned

Filed: September 6, 2006

Internatl. Appl. No.: PCT/JP2005/004503

Internatl. Filing Date: 15 March 2005 (15.03.2005)

Title: **IMAGE PROCESSING DEVICE, IMAGE PROCESSING METHOD, AND PRINTER DRIVER**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Transmittal Letter;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/038172 A1; International Publication Date: 28 April 2005 (28.04.2005), which consists of 57 pages:
  - 2 pages of the cover sheet,
  - 37 pages of Textual Specification,
  - 5 pages of 11 Claims,
  - 1 sheet of the Abstract,
  - 12 sheets of Drawings;
- 4) Executed Declaration and Power of Attorney;
- 5) Executed Assignment with Recordation Cover Sheet to **RISO KAGAKU CORPORATION** 2-20-15, Shinbashi, Minato-ku, Tokyo, JAPAN;
- 6) Information Disclosure Statement;
- 7) PTO Form 1449 with 4 cited references;
- 8) PCT/IB/304 (Notification Concerning Submissions or Transmittal of Priority Document);
- 9) PCT/IB/308 (Second and Supplementary Notice);
- 10) PCT/ISA/210 (International Search Report);
- 11) PCT/ISA/237 (Written Opinion);
- 12) Check No.: 2786 in the Amount of \$ 940.00 for the Basic Filing Fee, which includes the Search and Examination Fees (\$900), as a Large Entity, and Fee for Recordation of the Assignment (\$40);
- 13) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By: 

Gary M. Nath, Reg. No. 26,965  
Gregory B. Kang, Reg. No. 45,273  
✓ Jerald L. Meyer, Reg. No. 41,194  
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